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14. ABSTRACT  This project assessed potential roles of myoepithelial (ME) cells in breast tumor invasion, and found that a subset of pre-invasive breast tumors contained focally disrupted ME cell layers. Compared to adjacent cells within the same duct, cell clusters overlying these focal ME layer disruptions showed several unique features, including a loss of estrogen receptor expression, a significantly higher rate of proliferation, genetic instability, expression of tumor invasion and metastasis related genes, and infiltration of leukocytes. Together, our findings suggest that [1] focal ME layer disruptions might represent an early sign of tumor invasion, [2] cell clusters overlying focal ME layer disruptions might represent precursor of invasive lesions, and [3] focal ME layer disruption may result from a localized degeneration of aged or injured ME cells and resultant immunoreactions. This project is completed ahead the schedule. The outcomes of this project are expected to generate a total of 98 scientific publications (74 have been published or accepted for publication).					
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**Appendices.....** A total of 74 published or accepted papers and abstracts and 5 submitted manuscripts (total about 400 gages) are available upon request.

## **Introduction**

To assess interactions between epithelial (EP) and myoepithelial (ME) cells in association with breast tumor progression and invasion, a double immunostaining technique with antibodies to smooth muscle actin (SMA) and estrogen receptor (ER) was used to elucidate both the ME and EP cells in mammary tissues harboring ductal carcinoma in situ. Single or clusters of EP cells with a marked diminution or a total loss of ER expression were found immediately overlying focally disrupted ME cell layers, in contrast to the dominant population of ER (+) cells within the same duct that showed no associated ME cell layer disruptions. This study attempted to confirm our previous findings on a larger number of cases, and to compare the immunohistochemical and molecular biological profiles of the ER (-) cells overlying disrupted ME cell layers with those of adjacent ER (+) cells and surrounding stromal (ST) cells. Since ME cell layers are physical barriers protecting the microenvironment and integrity of EP cells, and the disruption of ME cell layers is an absolute pre-requisite for breast tumor invasion, the outcomes of this project could have significant values in early detection of breast tumor progression and/or invasion.

## **Body**

### ***a: Statement of work***

A total of 7 tasks were listed in the Statement of Work of the original proposal:

Task 1. To repeat our previous studies and to identify epithelial (EP) cells overlying disrupted myoepithelial (ME) cell layers (months 1-6)

**Completed:** The outcomes had been published (please see attached "References-publications: # 4,5 (papers), and #1-8 (abstracts).

Task 2. To compare the biological behavior of cells overlying a disrupted ME cell layer with that of adjacent cells within the same duct (months 6-9)

**Completed:** The outcomes had been published (please see attached "References-publications: # 9,10, 12 (papers), and #1-8 (abstracts).

Task 3. To microdissect phenotypically different EP cells and the surrounding ME and stromal (ST) cells for molecular biological analyses (months 9-12)

**Completed:** The outcomes had been published (please see attached "References-publications: # 4,5 (papers), and #9-16 (abstracts).

Task 4. To compare the frequency and pattern of loss of heterozygosity (LOH), and clonality among EP, ME, and ST cells (months 12-20)

**Completed:** The outcomes had been published (please see attached "References-publications: # 6-10 (papers), and #16-27 (abstracts).

Task 5. To assess the gene expression pattern in cells from frozen section sections with cDNA expression array technique, and to generate probes based on sequences exclusively or mainly expressed in cells overlying disrupted ME cell layers (months 20-24)

**Completed:** The outcomes had been published (please see attached "References-publications: # 14-19 (papers), and #28-50 (abstracts).

Task 6. To apply the probes to both paraffin and frozen sections, to identify the gene expressing cells and their morphologic features (months 24-32)

**Completed:** The laboratory procedures have been completed and the outcomes are in the process of summarization for publication (please see attached "References-Scientific papers near completion or in preparation, #1-24).

Task 7. To correlate the laboratory findings with that of clinical following-up data (months 32-36).

**Completed:** The laboratory procedures have been completed and the outcomes are in the process of summarization for publication (please see attached "References-Scientific papers near completion or in preparation, #1-24).

***b: Experimental procedures:***

Consecutive sections were made from formalin-fixed, paraffin-embedded breast tissues from over 400 patients with various grades of ductal carcinoma in situ (DCIS), and double immunostained for ER and SMA. Cross sections of all ducts lined by  $\geq 40$  EP cells were examined for a focal ME cell layer disruption, defined as an absence of ME cells, resulting in a gap equal to or greater than the combined size of 3 EP or ME cells. A focal loss of ER expression was defined as marked diminution or a total loss of ER staining in cells immediately overlying a disrupted ME cell layer, in contrast to strong ER expression in adjacent cells within the same duct.

After immunostaining for ER and SMA, cells overlying disrupted ME cell layers, adjacent ER (+) cells within the same duct, adjacent stromal (ST) cells, and other controls were microdissected for DNA extraction and assessment for loss of heterozygosity (LOH) and microsatellite instability (MI), using PCR amplification with a panel of DNA markers at 6 chromosomes. The frequency and pattern of LOH and MI among samples were compared.

Consecutive sections were also prepared from frozen breast tissues of patients with DCIS and invasive ductal carcinomas (IDC), and were double immunostained for ER and SMA. Immunostained sections were examined for ER expression and focal ME cell layer disruptions. ER (-) cells overlying disrupted ME layers and adjacent (+) cells within the same duct in DCIS, along with morphologically and immunohistochemically similar cells in IDC, were microdissected for RNA extraction, using the RNA extraction kits from Arcturus Engineering, Inc (Mountain View, CA). The RNA extracts were subjected to RT PCR amplification. The gene expression profiles among samples were compared, using the software and reagents from Affymetrix, Inc (Santa Clara, CA) and SuperArray Bioscience Corporation (Frederick, MD).

A total of 7 biotin-labeled probes and detection kits from our collaborators, DAKO Corporation (Carpinteria, CA), and Sigma (St. Louis, MO) had been used in both paraffin-embedded and frozen sections from selected cases. The experimental procedures had been completed and several manuscripts are in preparation to report the results (see "References"-Scientific papers near completion or in preparation).

The clinical follow-up data from 50 cases with focally disrupted ME cell layers had been compared to those from 50 cases without ME cell layer disruptions, and several manuscripts are in preparation to report the results (see "References"-Scientific papers near completion or in preparation).

All above experimental procedures were carried out according to the methods described in the proposal without any major modifications. Also, all the laboratory efforts have been strictly adhered to address the issues listed in "Statement of Work".

### **Key research accomplishments**

All the laboratory procedures for Tasks 1 to 7 had been completed, and the outcomes have been either published or in the process of preparation for publication (see below).

The outcomes of this project have generated 74 published or accepted research papers (n=21), abstracts (n=50), and figures (n=3), as well as 24 submitted (n=5), to be submitted within a month (n=2), and partially completed (n=17) research papers.

Based on his own and other findings, this PI has proposed a new hypothesis for breast and prostate tumor invasion. The hypothesis and supportive data have been recently published in several peer-reviewed journals, including Breast Cancer Research, Breast Cancer Research and Treatment, Experimental Cell Research, Cancer Detection and Prevention, and Applied Molecular Morphology & Immunohistochemistry (see attached "References": Scientific papers published, accepted, and submitted #5, 10, 12-14).

Several molecules exclusively or mainly expressed in ER negative cell clusters overlying focally disrupted ME cell layers have been identified and characterized, and is in the process for potential development of early detection or therapeutic agents.

### **Reportable outcomes**

A total of 98 research papers (n=45), abstracts (n=50), and figures (n=3) are expected to be generated by this projects (see the "References" below).

### **Conclusions**

1. Tasks 1-7 listed in the proposal have been completed.
2. A total of 98 publications are expected to be generated by this project.
3. The outcomes are in a total agreement with the original hypotheses in the proposal.
4. Several new molecules associated with tumor progression or invasion have been identified.

### **References**

#### ***A. Honors and Awards:***

1. A invited speaker at the Department of Chemistry and Biochemistry at Florida State University in June, 2003
2. Author of one of the best poster presentations at the 7<sup>th</sup> International Symposium on Predictive Oncology & Intervention Strategies. Nice, France, February 7-10, 2004.
3. Author of one of the best oral presentations at the 7<sup>th</sup> International Symposium on Predictive Oncology & Intervention Strategies. Nice, France, February 7-10, 2004.
4. A distinguished lecturer at Department of Defense, Center for Prostate Disease Research, October 6, 2004.
5. A invited speaker at the 3<sup>rd</sup> Annual Drug Discover & Development-Asian-Pacific Congress, June 1-3, 2005, Singapore.
6. Invited reviewer for Cancer Therapy in 2004 (one manuscript).

7. Invited reviewer for Cancer Detection and Prevention in 2004 and 2005 (three manuscripts).
8. The PI's work and picture were posted in the AFIP Letter 162 (6): 3, 2004.

**B. Research grants:**

1. Author and recipient of AFIP/ARP joint research initiative grant (05AA) in 2005
2. Author and recipient of "Hypothesis Development Award" (PC051308) from Congressionally Directed Medical Research Program in 2005
3. Co-investigator and co-recipient of a grant from Susan Komen Breast Cancer Foundation in 2005

**C. Patent:**

Co-invent of a filed patent (with Dr. Patricia E. Berg of the George Washington University)

**D. Publications:**

**a. Scientific papers published, accepted, and submitted:**

1. Bratthauer GL, Moinfar F, Stamatakos M, Mezzetti TP, Shekitka KM, Man YG, Tavassoli FA. Combined E-Cadherin and high molecular weight cytokeratin immunoprofile differentiates lobular ductal, and hybrid mammary intraepithelial neoplasias. *Human Pathol* 33: 620-627, 2002
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3. Garayoa M, Man YG, Martinez A, Cuttitta F, Venzon DJ, Mulshine JL. Down regulation of hRNP A2/B1 expression in tumor cells under prolonged hypoxia. *Am J Respir Cell Mol Biol* 28: 80-85, 2003
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7. Man YG, Zhang H, Vang R, Strauss B, Zhang L, Gao CL. Direct and repeat uses of tissue sections as templates for liquid phase PCR amplification: applications and implications. *Applied Immunohistochemistry and Molecular Morphology (AIMM)* 12: 266-270, 2004
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10. Man YG, Sang QXA. The significance of focal myoepithelial cell layer disruptions in breast tumor invasion: a paradigm shift from the "protease-centered" hypothesis. *Exp Cell Res* 301:103-118, 2004
11. Moinfar F, Kremser KL, Man YG, Lax K, Zatloukal K, Tavassoli FA, Denk H. Allelic imbalances in endometrial stromal neoplasms: frequent genetic alterations in the normal-appearing endometrial and myometrial tissues. *Gynecol Oncol* 95:662-671, 2004
12. Yousefi M, Mattu R, Gao C, Man YG. Mammary ducts with and without focal myoepithelial cell layer disruptions show a different frequency of white blood cell infiltration and growth pattern:

Implications for tumor progression and invasion. AIMM 13:30-37, 2005

13. Man YG, Shen T, Zhao YG, Sang QX. Focal prostate basal cell layer disruptions and leukocyte infiltration are correlated events: A potential mechanism for basal cell layer disruptions and tumor invasion. *Cancer Detect Prev* 29: 161-169, 2005
14. Man YG, Zhang Y, Shen T, Vinh TN, Zeng X, Tauler J, Mulshine JL, Strauss BL. cDNA expression profiling identifies elevated expressions of tumor progression and invasion related genes in cell clusters of in situ breast tumors. *Breast Cancer Res Treat* 89:199-208, 2005.
15. Man YG, Fu SW, Pinzone JJ, Schwartz AM, Simmens SJ, Berg PE. Expression of BP1, a homobox gene, correlates with progression and invasion of mammary ductal Carcinoma. *Breast Cancer Res Treatment* 90: 241-247, 2005
16. Halbwedl I, Ullmann R, Kremser ML, Man YG, Isadi-Moud N, Lax S, Denk H, Popper HH, Tavassoli FA, Moinfar F. Chromosomal alterations in low-grade endometrial stromal sarcoma and undifferentiated endometrial sarcoma as detected by comparative genomic hybridization. *Gynecol Oncol* 97: 582—587, 2005.
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19. Man YG, Nieburgs HE. A subset of morphologically normal and hyperplastic breast tissues contains cell clusters with malignant features: Implication for tumor progression and invasion. *Cancer Detect Prev*, Conditionally accepted.
20. Man YG, Sang QXA, Zhao CQ, Mannion C, Gardner WA. Focal basal cell degeneration induced lymphocyte infiltration is a potential trigger factor for prostate tumor invasion: implications for treatment and prevention. *Cancer Detect Prev*, Conditionally accepted
21. Man YG, Schwartz A, Levine P, Berg PE. BP1, a putative signature marker for inflammatory breast cancer. Conditionally accepted
22. Man YG, Zhao CQ. Cell clusters overlying focal myoepithelial layer disruptions and budded derivatives have different estrogen receptor expression profiles: implications for treatment and prevention. Submitted
23. Man YG, Zhao CQ, Wang J, XL Chen. A subset of morphologically distinct prostate basal cells lacks corresponding immunophenotypic markers: Implications for clinical diagnosis. Submitted.
24. Tejani A, Wang J, Yousefi M, Zhao CQ, Man YG. Aberrant expression of E-cadherin-like molecules in cell clusters overlying focally disrupted mammary myoepithelial cell layers: Implications for stromal and vascular invasion. Submitted.
25. Weisz J, Shearer DA, Fraumeni E, Man YG, McCaffery. Divergent effect of progression of breast cancer from the in situ to the invasive stage on the expression of the retinoic acid biosynthetic enzyme retinaldehyde dehydrogenase 2 (RALDH2): Implications for chemoprevention and treatment of breast cancer. Submitted (under revision)
26. Zhao YG, Xiao AZ, Ni J, Man YG, Park HI, Sang QXA. Tissue microarray and integrated morphometry analysis of Matrix metalloproteinase-26 expression profile in various human cancerous tissues and smooth muscle cells. Submitted and under revision.

***b. Scientific papers near completion or in preparation***

1. Man YG, Schwartz AM. Berg PE. Expression of BP1, a homeobox gene, correlates with prostate



tumor progression and invasion

2. Man YG, Simmens SJ. Focal myoepithelial cell layer disruptions induced tumor and stromal cell alterations correlate with an elevated frequency of invasion.
3. Man YG, Zhang H, Zhang L. Co-expression of c-erb B2- and E-cadherin-like molecules in cell clusters "puncturing" into the stroma and vessel-like structures.
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5. Man YG. Impacts of mast cell infiltration into breast epithelia on cell proliferation and gene expression
6. Man YG, Gao CL, Gardner WA. Impacts of mast cell infiltration into prostate epithelia on cell proliferation and gene expression
7. Man YG. Solid cell clusters with unusual morphologic and immunohistochemical features in pre-invasive breast tissues: Seeds for invasive and recurrent tumors?
8. Man YG. Focal degeneration of aged or injured myoepithelial cells and resultant immunoreactions trigger onset of breast tumor invasion
9. Man YG, Zeng X. Elevated protein expression in stromal cells near focally disrupted myoepithelial cell layers
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11. Man YG. Co-current and independent protein profiles in cells overlying focally disrupted myoepithelial cell layers and adjacent stromal cells
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13. Man YG. Differential frequency and pattern of T-lymphocyte and mast cell infiltration in benign and malignant breast tumors with and without myoepithelial cell layers
14. Man YG. Unique profile of loss of heterozygosity in prostate tumors with an aberrant basal cell layer
15. Man YG. Genetically different primary bilateral breast tumors show similar signs of progression and invasion
16. Man YG, Chen XL, Gardner WA. Prostate ducts and acini with and without focal basal cell layer disruptions have a different profile of androgen receptor expression.
17. Man YG. Stromal and vascular invasion of normal and hyperplastic appearing human breast ductal cells.
18. Chung LS, Man YG, Lupton GP. Wilms' tumor gene 1 (WT-1) expression in melanocytic lesions.
19. Wang HL, Man YG. Potential roles of focal basement membrane disruptions and lymphocyte infiltration in colorectal cancer invasion.

***c. Scientific abstracts published and accepted***

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